

Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
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EFS ID: 19870  
Application ID: 10065739  
Title of Invention: High Deposition Rate Sputtering  
First Named Inventor: Roman Chistyakov  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2002-11-14  
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: ZON-003  
Digital Certificate Holder: cn=Kurt Rauschenbach, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: 1eLQtFdSRGwoRRwpaXu5zQ==  
Total Fees Authorized: \$502.0  
Payment Category: DA - Deposit Account  
Deposit Account Number: 501211  
Deposit Account Name: Kurt Rauschenbach

# TRANSMITTAL FORM



Electronic Version 1.0.3

Stylesheet Version: 1.0

Submission Type: Utility Patent  
Filing

Attorney Docket  
Number:

ZON-  
003

## High Deposition Rate Sputtering

First Named Inventor: Roman Chistyakov

### SUBMITTED BY

Name:	Dr. Kurt Rauschenbach
Registration Number:	40,137
Electronic Signature Mark: kr	Date Signed: 20021114

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*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

### Attached Files:

declaration	dcl.tif
declaration	POA.tif
small-entity	vs.tif
specification	ZON-003EFSFinal2.xml
bibd-transmittal	zon-003apds.xml
fee-transmittal	zon-003fee.xml

Attached Image File(s):

dcl.tif

POA.tif

vs.tif

Comments:

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

**Title of Invention** | **High Deposition Rate Sputtering**

As the below named inventor(s), I/we declare that:

**This declaration is directed to:**

- ☒ The attached application, or  
☐ Application No. \_\_\_\_\_, filed on 11/14/02  
☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one: Roman Chistyakov

Signature: Roman Christy Citizen of: USA

Inventor two: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: \_\_\_\_\_

Inventor three: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: \_\_\_\_\_

Inventor four: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: \_\_\_\_\_

☐ Additional inventors are being named on \_\_\_\_\_ additional form(s) attached hereto.

**Burden Hour Statement:** This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035  
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### POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	Unassigned
Filing Date	November 14, 2002
First Named Inventor	Roman Chistyakov
Title	High Deposition Rate...
Group Art Unit	
Examiner Name	
Attorney Docket Number	ZON-003

I hereby appoint:

☒ Practitioners at Customer Number

23701

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☐ Practitioner(s) named below:

Name	Registration Number
Kurt Rauschenbach	40,137

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I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

#### SIGNATURE of Applicant or Assignee of Record

Name	Roman Chistyakov
Signature	<i>Roman Chistyakov</i>
Date	November 14, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

PTO/SB/10 (1-99)  
Approved for use through 09/30/2000. OMB 0651-0031  
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<b>STATEMENT CLAIMING SMALL ENTITY STATUS</b> <b>(37 CFR 1.9(f) &amp; 1.27(c))--SMALL BUSINESS CONCERN</b>	Docket Number (Optional) <b>ZON-003</b>
<div style="display: flex; justify-content: space-between;"><div style="width: 60%;">Applicant, Patentee, or Identifier: <u>Roman Chistyakov</u></div><div style="width: 35%; text-align: right;">Docket Number (Optional) <b>ZON-003</b></div></div> <div style="display: flex; justify-content: space-between;"><div style="width: 60%;">Application or Patent No.: <u>Unassigned</u></div><div style="width: 35%; text-align: right;">Docket Number (Optional) <b>ZON-003</b></div></div> <div style="display: flex; justify-content: space-between;"><div style="width: 60%;">Filed or Issued: <u>November 14, 2002</u></div><div style="width: 35%; text-align: right;">Docket Number (Optional) <b>ZON-003</b></div></div> <div style="display: flex; justify-content: space-between;"><div style="width: 60%;">Title: <u>High Deposition Rate Sputtering</u></div><div style="width: 35%; text-align: right;">Docket Number (Optional) <b>ZON-003</b></div></div> <p>I hereby state that I am <input type="checkbox"/> the owner of the small business concern identified below: <input checked="" type="checkbox"/> an official of the small business concern empowered to act on behalf of the concern identified below:</p> <div style="display: flex; justify-content: space-between;"><div style="width: 60%;">NAME OF SMALL BUSINESS CONCERN <u>Zond, Inc.</u></div><div style="width: 35%; text-align: right;">Docket Number (Optional) <b>ZON-003</b></div></div> <div style="display: flex; justify-content: space-between;"><div style="width: 60%;">ADDRESS OF SMALL BUSINESS CONCERN <u>137A High Street, Mansfield, Massachusetts 02048</u></div><div style="width: 35%; text-align: right;">Docket Number (Optional) <b>ZON-003</b></div></div> <p>I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office. Questions related to size standards for a small business concern may be directed to: Small Business Administration, Size Standards Staff, 409 Third Street, SW, Washington, DC 20416.</p> <p>I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:</p> <div style="display: flex; justify-content: space-between;"><div style="width: 60%;">NAME OF SMALL BUSINESS CONCERN <u>Zond, Inc.</u></div><div style="width: 35%; text-align: right;">Docket Number (Optional) <b>ZON-003</b></div></div> <div style="display: flex; justify-content: space-between;"><div style="width: 60%;">ADDRESS OF SMALL BUSINESS CONCERN <u>137A High Street, Mansfield, Massachusetts 02048</u></div><div style="width: 35%; text-align: right;">Docket Number (Optional) <b>ZON-003</b></div></div> <p><input checked="" type="checkbox"/> the specification filed herewith with title as listed above. <input type="checkbox"/> the application identified above. <input type="checkbox"/> the patent identified above.</p> <p>If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).</p> <p>Each person, concern, or organization having any rights in the invention is listed below: <input checked="" type="checkbox"/> no such person, concern, or organization exists. <input type="checkbox"/> each such person, concern, or organization is listed below.</p> <p>Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)</p> <p>I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))</p> <div style="display: flex; justify-content: space-between;"><div style="width: 60%;">NAME OF PERSON SIGNING <u>Roman Chistyakov</u></div><div style="width: 35%; text-align: right;">Docket Number (Optional) <b>ZON-003</b></div></div> <div style="display: flex; justify-content: space-between;"><div style="width: 60%;">TITLE OF PERSON IF OTHER THAN OWNER <u>President and CEO</u></div><div style="width: 35%; text-align: right;">Docket Number (Optional) <b>ZON-003</b></div></div> <div style="display: flex; justify-content: space-between;"><div style="width: 60%;">ADDRESS OF PERSON SIGNING <u>137A High Street, Mansfield, Massachusetts 02048</u></div><div style="width: 35%; text-align: right;">Docket Number (Optional) <b>ZON-003</b></div></div> <div style="display: flex; justify-content: space-between;"><div style="width: 60%;">SIGNATURE <u>Roman Chistyakov</u></div><div style="width: 35%; text-align: right;">DATE <u>11/14/02</u></div></div>	

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100626Z JUL 71

Stylesheet Version: 1.0

Small Entity  
Small Business Concern

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

**Abstract**

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

SUBMITTED BY

Authorized Name: Kurt Rauschenbach  
Electronic Signature Mark: kr  
Date Signed: 20021112

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	2001	\$ 370

Subtotal For Basic Filing Fee: \$ 370

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 30	2202	\$ 9	10	\$ 90
Independent Claims: 4	2201	\$ 42	1	\$ 42



[illegible]

Subtotal For Extra Claims Fees: \$ 132